Demystifying the SEC's Cyber Rule in 2024

Practical Compliance Advice for Asset Managers

Speakers

- **Moderator: Rich Itri,** Chief Innovation Officer, ECI
- **John Araneo,** Partner, Cole-Frieman & Mallon LLP
- **Chad Fullerton,** Director, Information Security, ECI

Housekeeping

- Webinar duration: 45 mins, with a 15 min Q&A segment
- Questions can be submitted via the Q&A function in Teams
- Replay and slides to be circulated amongst registrants via email

Agenda

- An Overview of The SEC's Cyber Risk Management Rule
- What to Expect from a Mature MSP
- The Cyber Compliance Landscape in 2024
- How to Approach ODD

An Overview of the SEC's Cyber Risk Management Rule

1. Policies & Procedures, Annual Cybersecurity Assessments and the Annual Written Report

2. Reporting of Cybersecurity Incidents to SEC

3. Disclosure to Investors of Cybersecurity Risk

4. Cybersecurity Recordkeeping Obligations

What to Expect from a Mature MSP

- Proactive Approach
- Industry Specific Compliance Platform and Service
- Strong Technical Controls as a Baseline
- Business Continuity and Disaster Recovery Built In
- Expertise Working Through Audits

The Cyber Compliance Landscape in 2024

- Patchwork of Laws, Regulations and Industry-Specific Regimes
- The SEC's Cyber Rule is the Asset Management Industry's New Cyber Compliance Regime
- Focus Will Be on Implementing Appropriately Scaled Controls, Both Technological and Non-Technological

How to Approach ODD

- Unique Cybersecurity Challenges Cybersecurity poses in ODD
- Defining a Model Cybersecurity Program for Any Asset Manager
- Commensurate Controls
- Cybersecurity is a Process, Not a Project

Questions?

Thank You

If you wish to discuss further, please reach out to your Account Management Team.

Alternatively, you are welcome to reach out to speakers directly!

ECI Speaker Contact Information

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